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| FORM PTO-1390 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | U.S. GOVERNMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER 50179-086 |
| | | U.S. APPLIC. NO. (if known, see 37 CFR 1.5) 09/701437 |
| INTERNATIONAL APPLICATION NO. PCT/AU99/00420 | INTERNATIONAL FILING DATE May 31, 1999 | PRIORITY DATE CLAIMED May 29, 1998 |
| TITLE OF INVENTION METHOD OF DESIGNING AGONISTS AND ANTAGONISTS TO EGF RECEPTOR FAMILY | | |
| APPLICANT(S) FOR DO/EO/US Thomas Charles ELLEMAN, Thomas Peter John GARRETT, Robert Nicholas JORISSEN, Meizhen LOU, Antony Wilks BURGESS, Neil Moreton MCKERN, Herbert Rudolf TREUTLEIN, and Colin Lesley WARD | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | |
| 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | |
| 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). | | |
| 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. | | |
| 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) | | |
| 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). | | |
| 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendment has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. | | |
| 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | |
| 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | |
| 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | |
| Items 11. to 16. below concern other document(s) or information included: | | |
| 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | |
| 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | |
| 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. | | |
| 14. <input type="checkbox"/> A substitute specification. | | |
| 15. <input type="checkbox"/> A change of power of attorney and/or address letter. | | |
| 16. <input checked="" type="checkbox"/> Other items or information. 1. International Search Report by Australian Patent Office 2. International Preliminary Examination Report 3. Cover Sheet of Published International Application | | |



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|--|-------------------------------|--|-----------|-----------|--|-----------|------------------------|-----------------|--|------|--|--------|--|---------------------|--|-------------------|--|------|--|
| U.S. APPLIC. NO. (if known, see 37 CFR 1.50) | INTERNATIONAL APPLICATION NO. | ATTORNEY'S DOCKET NUMBER | | | | | | | | | | | | | | | | | |
| 09/701437 PCT/AU99/00420 | | 50179-086 | | | | | | | | | | | | | | | | | |
| | | CALCULATIONS PTO USE ONLY | | | | | | | | | | | | | | | | | |
| 17. <input checked="" type="checkbox"/> The following fees are submitted: | | | | | | | | | | | | | | | | | | | |
| Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO | | \$860.00 | | | | | | | | | | | | | | | | | |
| International preliminary examination fee paid to USPTO (37 CFR 1.482) No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) | | \$690.00 \$710.00 | | | | | | | | | | | | | | | | | |
| Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO | | \$1,000.00 | | | | | | | | | | | | | | | | | |
| International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) | | \$100.00 | | | | | | | | | | | | | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | \$ 1,000.00 | | | | | | | | | | | | | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | \$ 130.00 | | | | | | | | | | | | | | | | | |
| Claims | Number Filed | Number Extra | Rate | | | | | | | | | | | | | | | | |
| Total Claims | 53 -20 = | 33 | x \$18.00 | \$ 594.00 | | | | | | | | | | | | | | | |
| Independent Claims | 3 -3 = | 0 | x \$80.00 | \$ | | | | | | | | | | | | | | | |
| Multiple dependent claim(s) (if applicable) | | + \$270.00 | \$ | | | | | | | | | | | | | | | | |
| TOTAL OF ABOVE CALCULATIONS = | | \$ 1724.00 | | | | | | | | | | | | | | | | | |
| Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28). | | \$ | | | | | | | | | | | | | | | | | |
| TOTAL NATIONAL FEE = | | \$ 1724.00 | | | | | | | | | | | | | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | + \$ | | | | | | | | | | | | | | | | | |
| TOTAL FEES ENCLOSED = | | \$ 1724.00 | | | | | | | | | | | | | | | | | |
| | | Amount to be: refunded | \$ | | | | | | | | | | | | | | | | |
| | | charged | \$ | | | | | | | | | | | | | | | | |
| a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. | | | | | | | | | | | | | | | | | | | |
| b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>500417</u> in the amount of \$ <u>1724.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | | | | | | | | | | | |
| c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>500417</u> . A duplicate copy of this sheet is enclosed. | | | | | | | | | | | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | | | | | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | <table border="1"> <tr> <td>SIGNATURE</td> <td><i>Robert L. Price</i></td> </tr> <tr> <td>Robert L. Price</td> <td></td> </tr> <tr> <td>NAME</td> <td></td> </tr> <tr> <td>22,685</td> <td></td> </tr> <tr> <td>REGISTRATION NUMBER</td> <td></td> </tr> <tr> <td>November 29, 2000</td> <td></td> </tr> <tr> <td>DATE</td> <td></td> </tr> </table> | | | | SIGNATURE | <i>Robert L. Price</i> | Robert L. Price | | NAME | | 22,685 | | REGISTRATION NUMBER | | November 29, 2000 | | DATE | |
| SIGNATURE | <i>Robert L. Price</i> | | | | | | | | | | | | | | | | | | |
| Robert L. Price | | | | | | | | | | | | | | | | | | | |
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| DATE | | | | | | | | | | | | | | | | | | | |
| McDERMOTT, WILL & EMERY 600 13 th Street, N.W. Washington, DC 20005-3096 (202) 756-8000 Facsimile (202) 756-8087 | | | | | | | | | | | | | | | | | | | |